

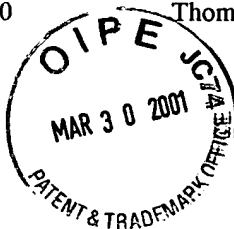


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,475	12/11/2000	Thomas C.K. Yuen	SRSLABS.271A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 5124

## FORMALITIES LETTER



\*OC000000005785626\*

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$338.
  - \$18 for 1 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1178.

04/02/2001 NROCHA1 00000041 09734475

01 FC:101	710.00 OP
02 FC:102	320.00 OP
03 FC:103	18.00 OP
04 FC:105	120.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

M.C.



*Section*

PATENT

Case Docket No. SRSLABS.271A

Date: March 27, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yuen, et al.  
App. No. : 09/734,475  
Filed : December 11, 2000  
For : SYSTEM AND METHOD  
FOR ENHANCED  
STREAMING AUDIO  
Group Art Unit : 2644

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

March 27, 2001

(Date)

*Lee W. Henderson*

Lee W. Henderson Ph.D., Reg. No. 41,830

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on February 21, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) Information Disclosure Statement
- (X) A PTO Form 1449 with fifty-four (54) references.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

## PATENT

Case Docket No. SRSLABS.271A


Date: March 27, 2001

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FILING FEE		\$ 710
FEE FOR 1 TOTAL CLAIM OVER 20		\$ 18
FEE FOR 4 INDEPENDENT CLAIMS OVER 3		\$ 320
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$ 0
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 1178
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - N/A		
TOTAL FEES SUBMITTED HEREWITH		\$ 1178

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- (X) A check in the amount of \$1178.00 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.

  
\_\_\_\_\_  
Lee W. Henderson Ph.D.  
Registration No. 41,830  
Attorney of Record